

# Genetic and environmental risk factors for rheumatoid arthritis in a UK African ancestry population: the GENRA case-control study

Matthew Traylor<sup>1</sup>, Charles Curtis<sup>2</sup>, Hamel Patel<sup>2</sup>, Gerome Breen<sup>2</sup>, Sang Hyuck Lee<sup>2</sup>, Xiaohui Xu<sup>2</sup>, Stephen Newhouse<sup>2</sup>, Richard Dobson<sup>2</sup>, Sophia Steer<sup>3</sup>, Andrew P. Cope<sup>4</sup>, Hugh S. Markus<sup>5</sup>, Cathryn M. Lewis<sup>1,2,\*</sup> and Ian C. Scott<sup>1,4,a,b,\*</sup>

## Abstract

**Objectives.** To evaluate whether genetic and environmental factors associated with RA in European and Asian ancestry populations are also associated with RA in African ancestry individuals.

**Methods.** A case-control study was undertaken in 197 RA cases and 868 controls of African ancestry (Black African, Black Caribbean or Black British ethnicity) from South London. Smoking and alcohol consumption data at RA diagnosis was captured. Genotyping was undertaken (Multi-Ethnic Genotyping Array) and human leukocyte antigen (HLA) alleles imputed. The following European/Asian RA susceptibility factors were tested: 99 genome-wide loci combined into a genetic risk score; HLA region [20 haplotypes; shared epitope (SE)]; smoking; and alcohol consumption. The SE was tested for its association with radiological erosions. Logistic regression models were used, including ancestry-informative principal components, to control for admixture.

**Results.** European/Asian susceptibility loci were associated with RA in African ancestry individuals. The genetic risk score provided an odds ratio (OR) for RA of 1.53 (95% CI: 1.31, 1.79;  $P = 1.3 \times 10^{-7}$ ). HLA haplotype ORs in European and African ancestry individuals were highly correlated ( $r = 0.83$ , 95% CI: 0.56, 0.94;  $P = 1.1 \times 10^{-4}$ ). Ever-smoking increased (OR = 2.36, 95% CI: 1.46, 3.82;  $P = 4.6 \times 10^{-4}$ ) and drinking alcohol reduced (OR = 0.34, 95% CI: 0.20, 0.56;  $P = 2.7 \times 10^{-5}$ ) RA risk in African ancestry individuals. The SE was associated with erosions (OR = 2.61, 95% CI: 1.36, 5.01;  $P = 3.9 \times 10^{-3}$ ).

**Conclusion.** Gene-environment RA risk factors identified in European/Asian ancestry populations are relevant in African ancestry individuals. As modern statistical methods facilitate analysing ancestrally diverse populations, future genetic studies should incorporate African ancestry individuals to ensure their implications for precision medicine are universally applicable.

**Key words:** arthritis, rheumatoid, African continental ancestry group, genetic susceptibility, smoking

<sup>1</sup>Department of Medical and Molecular Genetics, King's College London, <sup>2</sup>SGDP Centre, Institute of Psychiatry, Psychology & Neuroscience, King's College London, <sup>3</sup>Department of Rheumatology, King's College Hospital, <sup>4</sup>Academic Department of Rheumatology, Centre for Molecular and Cellular Biology of Inflammation, King's College London, London and <sup>5</sup>Department of Clinical Neurosciences, Neurology Unit, University of Cambridge, Cambridge, UK  
<sup>a</sup>Present address: Department of Rheumatology, Haywood Hospital, Burslem, UK.

<sup>b</sup>Present address: Research Institute for Primary Care & Health Sciences, Keele University, Staffordshire, UK.

Submitted 20 April 2016; revised version accepted 13 February 2017

\*Cathryn M. Lewis and Ian C. Scott contributed equally to this study

Correspondence to: Ian C. Scott, Research Institute for Primary Care & Health Sciences, Primary Care Sciences, Keele University, Staffordshire, ST5 5BG, UK.  
E-mail: i.scott@keele.ac.uk

**Rheumatology key messages**

- RA gene–environment risk factors in European and Asian populations are generalizable to African ancestry individuals.
- Smoking and alcohol (dominant European/North-American environmental RA risks) are associated with RA in African ancestry individuals.
- The shared epitope predicts erosive status in African ancestry RA patients.

**Introduction**

RA is a complex disease resulting from environmental exposures in genetically predisposed individuals [1]. Many genetic and environmental RA risk factors have been identified in European and Asian ancestry individuals [2–5]. Their generalizability to other ancestral populations is uncertain. The benefits of establishing RA risk factors include facilitating the identification of novel therapeutic targets [5] and risk prediction modelling [6].

Although RA causes significant health-care burdens in Africa [7], few studies have assessed RA risk factors in Africa or African ancestry groups. Relevant Africa-based research comprises several small case–control studies (including <60 cases) [8–10]. Two Cameroonian studies showed the shared epitope (SE) was three times commoner in RA cases [9], but a genetic risk score (GRS) of 28 European RA risk single nucleotide polymorphisms (SNPs) was not associated with RA [8]. A Senegalese study reported increased RA risk in *HLA-DR3* and *HLA-DR10* carriers [10]. RA susceptibility factors in African Americans are better characterized, with smoking and the SE being established risk factors [11]. To date, no studies have examined RA risks in UK-based African ancestry individuals. As UK, USA and Africa-based African ancestry populations are ethnically different (using different self-reported ethnicity classifications), and are likely to differ genetically (with varying degrees of genetic admixture), it is crucial to evaluate RA risks in African ancestry individuals living in the UK.

We evaluated whether gene–environment RA risk factors identified in European and Asian ancestry populations are relevant to African ancestry UK individuals. Our novel case–control study (comprising 197 RA cases and 868 controls of Black African, Black Caribbean and Black British ethnicity) tested whether: the HLA locus, 99 genome-wide loci, smoking, and alcohol consumption were associated with RA in this population. We also compared HLA region contributions to RA risk in African and European ancestry individuals, and evaluated associations between the SE and radiological erosions.

**Methods****Guidelines**

The STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) guidelines have been devised to strengthen transparency in the analysis and reporting of observational studies. We adhered to the STROBE checklist for study reporting [12].

**Subjects***African ancestry cohort*

African ancestry cases were from the GENetics of RA in individuals of African ancestry (GENRA) study [13]. GENRA recruited 212 patients (January 2011 to February 2015) from rheumatology clinics in four South London hospitals (Guy's, King's College, St George's and Lewisham). Inclusion criteria comprised an RA diagnosis fulfilling the 1987/2010 ACR criteria, and Black African, Black Caribbean or Black British self-reported ethnicity [14]. Patients were assessed once, recording ethnicity, disease characteristics (year diagnosed, medications, serology, extra-articular features) and outcomes (disease activity, disability, quality of life). Patients were asked about current and previous smoking, including time-points for starting/stopping smoking, cigarettes/day (or alternatives) and breaks from smoking. Alcohol intake was assessed by asking if they were current, previous or never drinkers; alcohol intake (units/day) at diagnosis was collected. Hand and feet radiographs were evaluated for erosions; if unavailable, documentation of erosions in the clinical notes was captured. Serum and DNA was extracted from whole blood.

African ancestry controls ( $n = 887$ ) were included from the South London Ethnicity and Stroke Study (SLESS) [15]. Inclusion criteria comprised being of Black Caribbean or Black African ethnicity, and being free of clinical cerebrovascular disease. SLESS control recruitment was by random selection from primary care lists in St George's, Guy's and St Thomas', and King's College Hospital catchment areas between 1999 and 2012; emailing St George's University of London/St George's Hospital staff; and affixing posters publicizing the study in local leisure centres, primary care surgeries, churches and communities centres. Using population controls from the same catchment area as cases reduced selection bias risk. Lifestyle habits were captured by self-completed questionnaire, checked and completed, if necessary, by a clinician interviewing participants. Smoking was assessed by asking: Do you smoke? and Are you an ex-smoker? Alcohol intake was assessed by asking: How many alcohol units do you drink per week?

*European ancestry cohort*

We included European ancestry cases from the Combination Anti-Rheumatic Drugs in Early RA (CARDERA) genetics cohort and controls from the Wellcome Trust Case Control Consortium 2 (WTCCC2) to compare the contribution of the SE to RA risk between African and European ancestry groups. Both cohorts have been described previously [16, 17]. CARDERA comprises

524 patients with early, active RA enrolled in two clinical trials. WTCCC2 controls are from the 1958 Birth Cohort.

### Genotyping

GENRA and SLESS were genotyped together on the Illumina Multi-Ethnic Genotyping Array, a multi-ethnic platform with >1.7 million markers [18]. Quality control (QC) and imputation procedures are described in supplementary Table S1, available at *Rheumatology* Online. Post-QC 197 cases and 868 controls were available. Principal components (PCs) were calculated, combining GENRA/SLESS with individuals from 1000 Genomes phase 3 populations using smartpca (EIGENSTRAT) on an LD-pruned subset of data [19]. Data were imputed to the 1000 Genomes phase 3 reference set using IMPUTE2 (ver. 2.3.0); 4-digit HLA alleles were imputed using HLA\*IMP:02 (multi-ethnic reference panel, imputing 4-digit HLA alleles with 84% accuracy in Africans) [20].

CARDERA cases and WTCCC2 controls were genotyped separately on the Illumina Immunochip [21]. QC was initially performed on each dataset separately and subsequently after merging (using the same procedures as for GENRA/SLESS). PC analysis (PCA) was performed using smartpca (EIGENSTRAT) on an LD-pruned subset [19]. Individuals were removed that were >8 s.d.s from the mean of the first five PCs. Post-QC 520 cases and 2648 controls were available. Imputation of HLA alleles was performed with HLA\*IMP:02 (European reference panel, imputing 4-digit HLA alleles with 94% accuracy in Europeans) [20].

### Statistical analysis

#### HLA associations

Raychaudhuri *et al.* [22] showed that most HLA-derived risk for ACPA-positive RA in Europeans is from polymorphisms in five amino acids [22].

These define 16 haplotypes in HLA-DR $\beta$ 1, 2 haplotypes in HLA-B and 2 haplotypes in HLA-DP $\beta$ 1. We tested their association with RA in our African and European ancestry cohorts using logistic regression. In GENRA/SLESS, the first 10 ancestry-informative PCs were included as covariates, to adjust for admixture. Pearson's correlation coefficient tested correlations between the log [odds ratios (ORs)] for association of each HLA haplotype in the meta-analysis, and GENRA/SLESS. As Raychaudhuri *et al.* determined these haplotypes by using omnibus tests to define critical HLA-DR $\beta$ 1 molecule amino acid positions for RA susceptibility, we additionally used omnibus tests to evaluate the associations between the critical amino acid positions 11, 13, 71 and 74 in HLA-DR $\beta$ 1 and RA in our African and European ancestry cohorts. We also tested associations between the SE and RA using logistic regression. Nagelkerke's [23] measure of proportion of trait variance explained compared the degree of RA risk explained by the SE in European and African ancestry individuals.

#### Genome-wide associations

A trans-ethnic RA genome-wide association study (GWAS) meta-analysis by Okada *et al.* [5] identified 102 risk SNPs in European and Asian ancestry individuals. We

constructed a genetic risk score (GRS) including 99 of these variants [omitting two X-chromosome markers, and one SNP (rs147622113) not present in Africans] and tested its association with RA in our African ancestry cohort using logistic regression, including the first 10 ancestry-informative PCs. All SNPs included in the GRS had INFO scores >0.55. The GRS was created by summing the number of risk alleles carried at each SNP, weighted by its log (OR). To ensure the GRS association with RA in GENRA/SLESS was not due to European admixture, we divided GENRA cases into quartiles based on PC 1 (separating European and African ancestry individuals) and repeated the GRS analysis for cases in each quartile against all controls. It was not possible to test the association between the GRS and RA in our European ancestry cohort because CARDERA was genotyped on the ImmunoChip, which is missing a substantial proportion of the SNPs identified by Okada *et al.*

#### Smoking and alcohol associations

Smoking and alcohol abstinence have consistent associations with RA in European/North American studies [2, 3]. We tested the association between (i) being an ever-smoker vs never-smoker and drinker vs non-drinker at RA diagnosis and (ii) case-control status in GENRA/SLESS. GENRA cases were classified as non-drinkers if they reported being a never drinker or if a current/previous drinker reported consuming 0 U/week at RA diagnosis. SLESS controls were classified as non-drinkers if they reported drinking 0 U/week at assessment. Propensity score matching (1:2 ratio) matched GENRA cases with SLESS controls for age, sex and ethnicity. As Black British ethnicity individuals were not recruited to SLESS, 19 Black British GENRA cases were excluded. Eight GENRA cases and 190 SLESS controls with missing smoking/alcohol data were also omitted. Associations between smoking and drinking and RA were tested using multivariate logistic regression models, including age, sex and ethnicity as covariates. Due to ethnic differences in lifestyle habits, a secondary analysis stratifying by ethnicity was performed.

#### Smoking-SE interaction

An additive interaction between the SE and smoking on RA risk is well established in Europeans [24]. We tested this in GENRA/SLESS using logistic regression models incorporating smoking (ever vs never-smoking) and the SE (0 vs any copies), alongside the first 10 ancestry-informative PCs, age and sex as covariates. The interaction between the SE and smoking was evaluated as the relative excess risk due to interaction, using the approach defined by Rothman and Greenland [25].

#### SE and erosive status

The SE associates with erosions in most RA populations [26]. We tested this in GENRA cases using logistic regression including erosions (present vs absent) as the response variable, and the first 10 ancestry-informative PCs, age, sex, ACPA and disease duration as covariates.

### All cases vs ACPA-positive cases

Gene–environment risk factors for RA have stronger associations with ACPA-positive disease. We therefore analysed all RA and ACPA-positive RA cases separately.

### Sample size

We had 80% power to detect a common variant [minor allele frequency (MAF) = 30%] with OR > 2.10 at genome-wide significance (assuming an additive genetic model and RA prevalence in Africans of 0.75%) [7, 27]. Our study was therefore powered to replicate European HLA haplotype associations. Statistical analyses were performed using R, version 3.2.2.

### Ethics approval and consent to participate

GENRA (National Research Ethics Service Committee London—Dulwich, reference: 11/LO/1244), SLESS (Wandsworth Local Research Ethics Committee, reference: 05/Q0803/324), CARDERA (National Research Ethics Service Committee East of England—Essex, reference: 11/EE/0544) and WTCCC2 were ethically approved. All participants provided consent according to the Declaration of Helsinki. No additional ethical approval was required for this study.

## Results

### Study characteristics

#### African ancestry cohort

Post-QC, there were 197 GENRA cases and 868 SLESS controls (Table 1). Cases and controls had similar mean ages (56.3 vs 58.7 years); more cases were female (83% vs 48%). Most cases had established (mean duration 9.3 years) ACPA-positive (78%) RA. Mean disease activity was moderate (DAS28 3.97); 40% were erosive.

PCA showed strong segregation of GENRA/SLESS samples with African populations from 1000 Genomes phase 3 (Fig. 1). While European admixture in GENRA and SLESS was observed, this was not significantly different between them. The major PC dividing European from African ancestry (PC1) was not significantly associated with RA status under logistic regression ( $P = 0.27$ ).

#### European ancestry cohort

Post-QC, there were 520 CARDERA cases and 2648 WTCCC2 controls. More cases were female (68% vs 48%). Cases had early, active disease at trial baseline (mean duration 0.28 years; mean DAS28 5.88). Most were ACPA-positive (70%).

#### HLA imputation

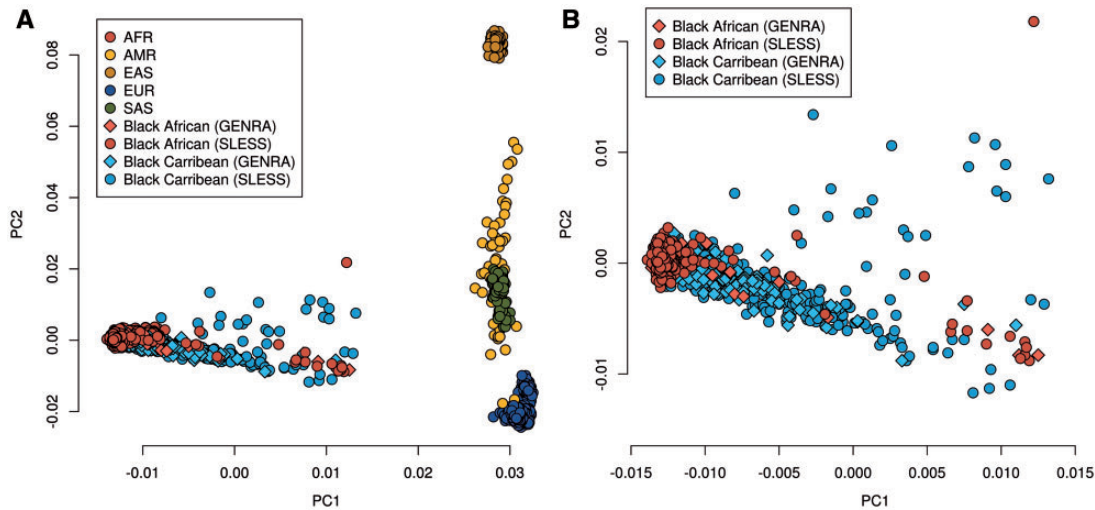
HLA alleles were imputed with a high-degree of certainty (supplementary Figs S1–4, available at *Rheumatology* Online). In GENRA/SLESS, 232 alleles were imputed at 4-digit resolution; 127 alleles had a frequency of >1%. The median posterior probability (Q) of allele allocation was 0.974; 88.1% of alleles were imputed with  $Q > 0.9$ ; 96.3% were imputed with  $Q > 0.8$ . In CARDERA/WTCCC2, 183 alleles were imputed at 4-digit resolution; 111 of these had a frequency of >1%. The median Q of allele allocation was 0.975; 87.3% of alleles were imputed with  $Q > 0.9$ ; 96.8% were imputed with  $Q > 0.8$ . Lower frequency alleles were imputed with less certainty, as expected due to their rarity in reference panels. Imputation posterior probabilities for the HLA region were in general similar between RA cases and controls, with no significant differences between them as assessed by linear regression. The exception was for HLA-DPB1, which showed slightly lower imputation quality in controls compared with cases ( $P = 0.024$ ).

**TABLE 1** African and European ancestry cohort characteristics

Characteristic	African Ancestry		European Ancestry	
	GENRA cases ( <i>n</i> = 197)	SLESS controls ( <i>n</i> = 868)	CARDERA cases ( <i>n</i> = 520)	WTCCC2 controls ( <i>n</i> = 2648)
Demographics				
Female, <i>n</i> (%)	164 (83.3)	416 (47.9)	355 (68.4)	1278 (48.3)
Age, years	56.3 (14.9)	58.7 (12.0)	54.6 (12.6)	–
RA characteristics				
Disease duration, years	9.3 (10.2)	–	0.28 (0.41)	–
ACPA-positive, <i>n</i> (%)	153 (77.7)	–	347 (70.1)	–
RF-positive, <i>n</i> (%)	152 (77.2)	–	349 (67.1)	–
DAS28	3.97 (1.59)	–	5.88 (1.28)	–
Erosions, <i>n</i> (%)	79 (40.1)	–	–	–
Shared epitope				
0 SE copies, <i>n</i> (%)	112 (56.9)	666 (76.8)	135 (25.9)	1302 (49.2)
1 SE copy, <i>n</i> (%)	76 (38.6)	192 (22.1)	273 (52.5)	1137 (42.9)
2 SE copies, <i>n</i> (%)	9 (4.6)	9 (1.0)	112 (21.5)	209 (7.9)

Data are mean (s.d.) unless otherwise stated. ACPA status missing in 25 CARDERA cases; smoking data missing in 1 GENRA case and 0 SLESS controls; alcohol consumption data missing in 11 GENRA cases and 190 SLESS controls. SE: shared epitope.



**Fig. 1** First two ancestry-informative principal components in GENRA, SLESS, and 1000 genomes phase 3 samples

**(A)** GENRA, SLESS and 1000 genomes phase 3 samples. **(B)** GENRA and SLESS samples only; **(B)** is an enlarged representation of the results presented in **(A)**, without including the 1000 genomes phase 3 samples. AFR: African; AMR: Admixed American; EAS: East Asian; EUR: European; SAS: South Asian.

#### HLA associations with RA in African and European ancestry cohorts

HLA haplotypes had similar effects on RA risk in GENRA/SLESS to those observed in the European meta-analysis (Table 2). Using the commonest control haplotype in the meta-analysis as the reference haplotype, in GENRA/SLESS only 2 (from 15 available non-reference haplotypes) had ORs in opposing directions to the European meta-analysis. In CARDERA/WTCCC2, 4 (from 16 available non-reference haplotypes) had ORs in opposing directions to the European meta-analysis. Haplotype ORs in GENRA/SLESS and the European meta-analysis were highly correlated ( $r=0.83$ , 95% CI: 0.56, 0.94;  $P=1.1 \times 10^{-4}$ ; Fig. 2). In GENRA/SLESS one further haplotype was observed, which was not present in Europeans [encoded by *HLA-DRB1*\*14:04; MAF = 0.005; OR = 0.96].

Omnibus tests showed highly significant associations for amino acid positions 11 and 13 in HLA-DR $\beta$ 1 ( $P=8.1 \times 10^{-9}$ ,  $P=7.8 \times 10^{-9}$ , respectively) and case-control status in GENRA/SLESS, but not positions 74 ( $P=0.017$ ) and 71 ( $P=0.20$ ). In CARDERA/WTCCC2, highly significant associations were observed for amino acids positions 11, 13 and 71 in HLA-DR $\beta$ 1 ( $P=1.6 \times 10^{-10}$ ,  $P=1.2 \times 10^{-10}$  and  $P=5.0 \times 10^{-9}$ , respectively) with case-control status. The association at position 74 was not significant ( $P=0.058$ ).

SE alleles were rarer in African than European ancestry individuals (Tables 1 and 3). The data indicated a SE frequency of 24% and 12% in GENRA cases and SLESS controls, respectively, and 48% and 29% in CARDERA cases and WTCCC2 controls, respectively. The OR for RA per SE copy was similar in African (OR = 2.41, 95% CI: 1.80, 3.23;  $P=4.6 \times 10^{-9}$ ) and European (OR = 2.27,

95% CI: 2.05, 2.51;  $P=1.6 \times 10^{-57}$ ) ancestry cohorts. The proportion of variance in case-control status explained by the SE was also similar (African  $R^2=0.050$ ; European  $R^2=0.069$ ). The SE was commoner in ACPA-positive cases, with larger effects on risk observed (Table 3).

#### Genome-wide SNP associations with RA in African ancestry individuals

The GRS was significantly associated with RA in GENRA/SLESS (OR = 1.53, 95% CI: 1.31, 1.79;  $P=1.3 \times 10^{-7}$ ). Although the HLA SNP included in the GRS contributed a substantial part of this signal, a significant association remained when it was omitted (OR = 1.30, 95% CI: 1.11, 1.52;  $P=0.0011$ ). The association was stronger when restricting to ACPA-positive cases (OR = 1.75, 95% CI: 1.47, 2.08;  $P=5.0 \times 10^{-10}$ ); this remained significant on omitting the HLA SNP (OR = 1.40, 95% CI: 1.18, 1.67;  $P=1.7 \times 10^{-4}$ ). Individual SNP associations are provided in supplementary Table S2, available at *Rheumatology* Online.

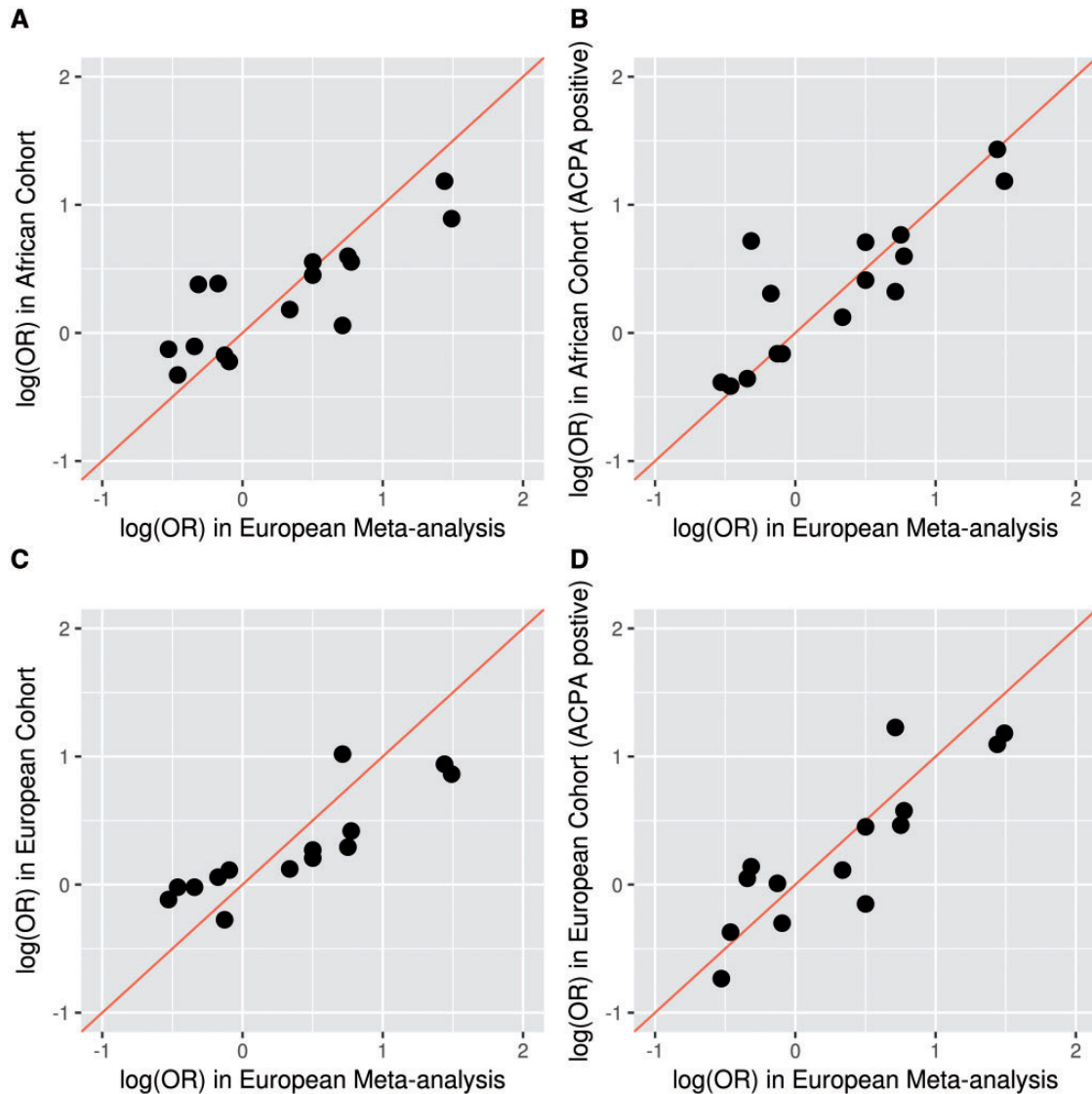
Dividing GENRA cases into quartiles based on their proportion of European ancestry (captured by the first PC) and repeating the GRS analysis for each quartile against all controls ensured the association was not driven by European admixture (supplementary Fig. S5, available at *Rheumatology* Online).

Plotting the ORs for RA associated with each SNP in the Okada *et al.* meta-analysis and our GENRA/SLESS African ancestry cohort and scaling each point by their minor allele frequency (supplementary Fig. S6, available at *Rheumatology* Online) showed that the majority of common RA susceptibility SNPs share a direction of

**TABLE 2** HLA haplotype associations with ACPA-positive RA in European meta-analysis, compared with African and European Studies

HLA-DRβ1 amino acids at position					Classical <i>HLA-DRB1</i> alleles	Meta-analysis OR (Freq Ca, Co)	European cohort OR (Freq Ca, Co)	African cohort OR (Freq Ca, Co)
11	13	71	74					
Val	His	Lys	Ala		<b>*04:01</b>			
Val	His, Phe	Arg	Ala		<b>*04:08, *04:05, *04:04, *10:01</b>	4.44 (0.316, 0.106)	3.26 (0.227, 0.120)	3.27 (0.028, 0.014)
Leu	Phe	Arg	Ala		<b>*01:02, *01:01</b>	4.22 (0.141, 0.056)	2.99 (0.113, 0.056)	4.19 (0.107, 0.039)
Pro	Arg	Arg	Ala		<b>*16:01, *16:02</b>	2.17 (0.143, 0.109)	1.78 (0.138, 0.117)	1.82 (0.104, 0.068)
Val	His	Arg	Glu		<b>*04:03, *04:07, *04:11</b>	2.04 (0.012, 0.013)	3.41 (0.011, 0.005)	1.38 (0.018, 0.020)
Asp	Phe	Arg	Glu		<b>*09:01</b>	1.65 (0.009, 0.010)	0.86 (0.010, 0.010)	2.03 (0.005, 0.003)
Val	His	Glu	Ala		<b>*04:02</b>	1.65 (0.013, 0.011)	1.57 (0.012, 0.011)	1.51 (0.050, 0.034)
Ser	Ser	Lys	Ala		<b>*13:03</b>	1.43 (0.006, 0.011)	–	– (0.008, –)
Pro	Arg	Ala	Ala		<b>*15:01, *15:02, *15:03</b>	1.04 (0.006, 0.012)	1.02 (0.009, 0.009)	– (0.046, –)
Gly	Tyr	Arg	Gln		<b>*07:01</b>	1.00 (0.092, 0.142)	1.00 (0.118, 0.144)	1.00 (0.147, 0.162)
Ser	Ser, Gly	Arg	Ala		<b>*11:01, *11:04, *11:10, *12:01, *12:02, *14:02</b>	0.91 (0.064, 0.133)	0.74 (0.090, 0.147)	0.85 (0.063, 0.088)
Ser	Ser	Arg	Glu		<b>*14:01</b>	0.88 (0.049, 0.103)	1.01 (0.087, 0.108)	0.85 (0.129, 0.154)
Ser	Gly	Arg	Glu		<b>*14:04</b>	0.84 (0.012, 0.025)	0.33 (0.013, 0.028)	1.36 (0.013, 0.017)
Leu	Phe	Glu	Ala		<b>*01:03</b>	–	–	0.96 (0.005, 0.005)
Ser	Gly	Arg	Leu		<b>*08:01, *08:02, *08:04, *08:06</b>	0.73 (0.002, 0.004)	1.15 (0.004, 0.004)	2.05 (0.003, 0.002)
Ser	Ser	Arg	Arg		<b>*03:01, *03:02</b>	0.71 (0.013, 0.028)	1.05 (0.019, 0.024)	0.70 (0.069, 0.083)
Ser	Ser	Lys	Arg		<b>*11:02, *11:03, *13:01, *13:02, *13:04</b>	0.63 (0.083, 0.128)	0.69 (0.118, 0.143)	0.66 (0.089, 0.134)
Ser	Ser	Glu	Ala		Classical <i>HLA-B</i> alleles	0.59 (0.041, 0.112)	0.48 (0.044, 0.099)	0.68 (0.124, 0.152)
HLA-B amino acid at position 9					<b>*08</b>			
Asp					<b>*07, *13, *14, *15, *18, *27, *35, *37, *38, *39, *40, *41, *44, *45, *47, *49, *50, *51, *52, *53, *55, *56, *57, *58, *73</b>	2.12 (0.130, 0.118)	1.59 (0.137, 0.141)	2.15 (0.046, 0.029)
His, Tyr						1.00 (0.870, 0.882)	1.00 (0.863, 0.859)	1.00 (0.954, 0.971)
HLA-DPβ1 amino acid at position 9					Classical <i>HLA-DPB1</i> alleles			
Phe					<b>*02:01, *02:02, *04:01, *04:02, *05:01, *16:01, *19:01, *23:01</b>	1.40 (0.799, 0.728)	1.12 (0.727, 0.689)	1.13 (0.353, 0.318)
His, Tyr					<b>*01:01, *03:01, *06:01, *09:01, *10:01, *11:01, *13:01, *14:01, *15:01, *17:01, *20:01</b>	1.00 (0.201, 0.272)	1.00 (0.273, 0.311)	1.00 (0.647, 0.682)

Reference haplotype is the commonest one observed in the meta-analysis controls; classical *HLA-DRB1* SE alleles are shown in bold. European meta-analysis by Raychaudhuri et al.; African cohort: GENRA/SLESS; European cohort: CARDERA/WTCCC2. Freq: allele frequency in cases (Ca) or controls (Co); –: haplotype either not present or not imputed to sufficient accuracy.

**Fig. 2** RA Odds ratios for HLA haplotypes in the African/European studies vs the European meta-analysis

(A) All African ancestry RA cases. (B) ACPA-positive African ancestry RA cases. (C) All European ancestry RA cases. (D) ACPA-positive European ancestry RA cases. All European ancestry cases in meta-analysis were ACPA-positive; reference haplotypes [i.e. those with  $\log(\text{OR}) = 0$ ] not plotted. African study: GENRA/SLESS; European study: CARDERA/WTCCC2; European meta-analysis is the study by Raychaudhuri *et al.*

effect in the meta-analysis and our African ancestry cohort. Although there are some SNPs that have an opposite direction of effect, these are generally rare. Overall 56/99 (57%) of SNPs share a direction of effect between the Okada *et al.* meta-analysis and our African ancestry cohort. Stratifying this analysis by MAF shows that for markers with  $\text{MAF} > 0.01$ , 52/90 (58%) share a direction of effect; for markers with  $\text{MAF} > 0.05$ , 49/78 (63%) share a direction of effect; and for markers with  $\text{MAF} > 0.1$ , 44/64 (69%) share a direction of effect.

#### Association between smoking, alcohol and RA in African ancestry individuals

Smoking was associated with RA in GENRA/SLESS (Table 4). The OR for RA in ever vs never-smokers was 2.36 (95% CI: 1.46, 3.82;  $P = 4.6 \times 10^{-4}$ ). Similar results were observed for ACPA-positive cases (OR = 2.13, 95% CI: 1.24, 3.66;  $P = 6.0 \times 10^{-3}$ ; supplementary Table S3, available at *Rheumatology* Online). Univariate estimates remained significant (supplementary Table S4, available at *Rheumatology* Online). There was no evidence of an interaction between the SE and smoking on RA risk,

when evaluated on the additive scale (OR = 3.15, 95% CI: 0.25, 39.9).

Alcohol consumption had a significant inverse relationship with RA in GENRA/SLESS. The OR for RA in drinkers vs non-drinkers was 0.34 (95% CI: 0.20, 0.56;  $P = 2.7 \times 10^{-5}$ ). The association was marginally stronger for ACPA-positive RA (OR = 0.30, 95% CI: 0.17, 0.53;  $P = 3.9 \times 10^{-5}$ ; supplementary Table 3, available at *Rheumatology* Online). While smoking and drinking rates were lower in Black African individuals compared with Black Caribbean individuals, ORs for RA associated with

smoking and alcohol were similar in these ethnic groups (Table 4).

#### SE and erosive status in African ancestry cases

The SE was significantly associated with erosions in GENRA. The OR for RA per SE copy was 2.61 (95% CI: 1.36, 5.01;  $P = 3.9 \times 10^{-3}$ ) in all RA cases, and 3.35 (95% CI: 1.64, 6.91;  $P = 1.0 \times 10^{-3}$ ) in ACPA-positive cases.

## Discussion

We studied whether gene–environment RA risk factors identified in European and Asian ancestry populations are relevant in UK-based African ancestry individuals. Our study has three key findings. First, we showed that European–Asian RA susceptibility loci (a 99 SNP GRS and 20 HLA haplotypes) are associated with RA in African ancestry individuals. Second, we found that smoking and alcohol (dominant European/North American environmental RA risks [2, 3]) are associated with RA in African ancestry individuals. Third, we demonstrated that the SE, which predicts radiological damage in a range of ancestral groups [26] also predicts erosive status in African ancestry RA patients. Overall, our findings provide strong evidence for a shared genetic–environmental architecture for RA across European, Asian and African ancestry populations.

We used three approaches to examine the association between the HLA locus and RA in GENRA/SLESS. First, we used the 20 haplotype model proposed by Raychaudhuri *et al.* [22]; second, we used omnibus tests to evaluate the critical amino acid positions in HLA-DRβ1 identified by Raychaudhuri *et al.*; and third, we used the SE. In all three instances, the HLA region had a highly significant association with RA. While amino acid position 71 was not associated with RA in GENRA/SLESS, the relevance of this is uncertain, as the SE (which spans positions 71–74) had a highly significant association with RA status.

**TABLE 3** Shared epitope impact on RA risk in African and European ancestry cohorts

SE freq and association with RA	European cohort	African cohort
All RA		
SE freq (cases)	0.48	0.24
SE freq (controls)	0.29	0.12
OR (95% CI)	2.27 (2.05, 2.51)	2.41 (1.80, 3.23)
P-value	$1.6 \times 10^{-57}$	$4.6 \times 10^{-9}$
R <sup>2</sup>	0.069	0.050
ACPA + RA		
SE freq (cases)	0.54	0.28
SE freq (controls)	0.29	0.12
OR (95% CI)	3.03 (2.68, 3.42)	3.03 (2.20, 4.20)
P-value	$2.9 \times 10^{-71}$	$7.9 \times 10^{-12}$
R <sup>2</sup>	0.107	0.079

R<sup>2</sup>: Nagelkerke's measure of proportion of trait variance explained. Freq: SE allele frequency; OR: odds ratio per copy of SE allele carried in additive model (relative to no SE copies).

**TABLE 4** Association between smoking, alcohol and RA in African ancestry cases and controls

Characteristic	GENRA cases	SLESS controls	OR (95% CI)	P-value
Black Caribbean and Black African individuals (GENRA, $n = 170$ ; SLESS, $n = 340$ )				
Age, mean (s.d.), years	57.2 (14.9)	58.9 (11.8)	0.99 (0.97, 1.00)	0.11
Female, $n$ (%)	141 (82.9)	282 (82.9)	1.09 (0.61, 1.94)	0.77
Caribbean ethnicity, $n$ (%)	104 (61.1)	217 (63.8)	0.88 (0.58, 1.34)	0.56
Ever-smoker, $n$ (%)	54 (31.8)	59 (20.3)	2.36 (1.46, 3.82)	$4.6 \times 10^{-4}$
Alcohol drinker, $n$ (%)	28 (16.5)	108 (31.8)	0.34 (0.20, 0.56)	$2.7 \times 10^{-5}$
Black Caribbean individuals (GENRA, $n = 104$ ; SLESS, $n = 217$ )				
Ever-smoker, $n$ (%)	44 (42.3)	55 (25.3)	2.54 (1.46, 4.43)	$9.7 \times 10^{-4}$
Alcohol drinker, $n$ (%)	20 (19.2)	76 (35.0)	0.33 (0.18, 0.61)	$4.4 \times 10^{-4}$
Black African individuals (GENRA, $n = 66$ ; SLESS, $n = 123$ )				
Ever-smoker, $n$ (%)	10 (15.2)	14 (11.4)	2.86 (0.96, 8.52)	0.059
Alcohol drinker, $n$ (%)	8 (12.1)	32 (26.0)	0.35 (0.14, 0.87)	0.025

Cases and controls matched for age, sex and ethnicity. ORs for RA associated with smoking are adjusted for age, gender and drinking status (and ethnicity in analysis of all individuals); ORs for RA associated with drinking are adjusted for age, gender and smoking status (and ethnicity in analysis of all individuals); unadjusted ORs are given in supplementary Table S4, available at *Rheumatology* Online.



Although SE alleles were rarer in Africans than Europeans, the difference in the proportion of SE allele carriers between cases and controls was similar in both GENRA/SLESS and CARDERA/WTCCC2, resulting in similar ORs for RA. Additionally, the proportion of variance in case-control status explained by the SE was similar, albeit slightly lower in GENRA/SLESS ( $R^2=0.050$ ) compared with CARDERA/WTCCC2 ( $R^2=0.069$ ). This suggests that the HLA region has a comparable impact on RA susceptibility in European and African ancestry groups.

Our study lacked the power to detect individual SNP associations with RA in GENRA/SLESS. We therefore tested a GRS combining validated RA susceptibility SNPs. This approach is widely used to replicate genetic risks in polygenic disorders, whose genetic architecture comprises hundreds to thousands of very small effect common alleles [28, 29]. Excluding the HLA-tagging SNP from our GRS reduced the significance of the association, suggesting that as in Europeans, most of RA's heritability is from the HLA locus. It is improbable that all validated susceptibility SNPs reported by Okada *et al.* would replicate in a similarly sized African ancestry cohort, but our analysis supports the concept of an overall shared burden of genetic RA risk loci across ancestral groups.

Smoking and abstinence from alcohol increased RA risk in African ancestry individuals, replicating the effects observed in Europeans. While we observed larger ORs for RA associated with these factors compared with the pooled study risks observed in published meta-analyses—meta-analysis OR for RA in drinkers vs non-drinkers of 0.78 (95% CI: 0.63, 0.96) [2] and ever- vs never smokers of 1.40 (95% CI: 1.25, 1.58) [3]—our modest sample size limited our precision in estimating risk. The ethnic differences we observed in alcohol and smoking habits—with smoking and drinking being commoner in Black Caribbean, compared with Black African individuals—highlight the importance of considering ethnicity when evaluating lifestyle factors, although the small sample sizes of these ethnic subgroups means this finding requires interpreting with caution.

This is the first analysis of associations between erosions and the SE in UK-based African ancestry individuals. The OR of 2.60 in GENRA was similar to a meta-analysis of eight studies containing 532 Northern European RA patients, reporting an OR for RA with one SE allele of 2.4 [26]. In GENRA the association appeared independent of ACPA, which was included as a modelling covariate. More recently we, along with other research groups, have demonstrated a significant association between the presence of Valine at position 11 (external to the SE) in HLA-DR $\beta$ 1 and radiological damage in European ancestry RA patients [17, 30]. Testing this position in GENRA also revealed a significant association with erosions (OR=2.15, 95% CI: 1.02, 4.53;  $P=0.047$ ), although we could not confirm this was independent of the SE owing to our limited sample size.

Our study has several strengths. First, it is the first analysis of RA risk factors in Black British and Black Caribbean individuals. Second, we evaluated a broad

range of genetic–environmental factors. Third, pooling African ancestry ethnic groups and using computational techniques to account for population stratification optimized study power. Fourth, population controls were used from the same catchment area as cases. It also has limitations. First, the absence of HLA allele genotyping prevented imputation internal validation; however, posterior-probability scores suggested common HLA variants were accurately imputed: HLA\*IMP:02 has documented accuracy at imputing HLA alleles [20], and the SE prevalence in GENRA (24%) was similar to that observed in Cameroonian RA cases (30%) [9]. Second, as RA patients retrospectively recalled lifestyle habits at diagnosis, recall bias was possible. In the context of alcohol, this could inflate the effect seen (RA patients often abstain from alcohol with DMARD use, which could make them more likely to classify themselves as non-drinkers at diagnosis). Third, different methods were used to evaluate lifestyle habits in cases and controls. Finally, controls were not screened for RA; however, its low prevalence (0.6–0.9% in Africans [7]) suggests few would have this disease, and it is not routine practice to screen controls for disease in genetic studies of low prevalence disorders.

Despite increasing UK ethnic diversity (26–31% of our local South London community are of Black ethnicity [31, 32]), few ethnic minority patients participate in research. This issue is particularly pressing in genetic studies, as GWAS traditionally exclude non-Europeans to minimize population stratification. This calls into question the generalizability of research findings to ethnic minority groups. As statistical advances facilitate genomic analyses of ancestrally diverse populations [33, 34], we undertook a proof-of-concept GWAS (including the first 10 ancestry-informative PCs) in our ancestrally heterogeneous GENRA/SLESS cohort (supplementary Figs S7 and S8, available at *Rheumatology* Online). The genomic-inflation factor was 0.99, suggesting appropriate control for population stratification. We therefore propose that future GWAS meta-analyses include African ancestry samples.

In conclusion, genetic and environmental factors for RA susceptibility and severity in European and Asian ancestry populations are generalizable to African ancestry individuals. Greater efforts are needed to include ethnic minorities in research. This will ensure research findings and their potential for clinical benefit (which in the context of susceptibility loci and prognostic markers includes prevention and precision medicine) are universally translatable.

## Acknowledgements

ICS received salary costs from the National Institute for Health Research (NIHR Clinical Lectureship). This study makes use of data generated by the Wellcome Trust Case-control Consortium. A full list of the investigators who contributed to the generation of the data is available from [www.wtccc.org.uk](http://www.wtccc.org.uk). We are grateful to all patients and staff contributing to GENRA, SLESS, CARDERA and WTCCC2. We would like to particularly thank Dr Rachael Tan, Louise Nel, Abiola Harrison, Dr Nidhi Sofat, Debbie Johnson and Dr Louise Pollard for their help in recruiting

patients to GENRA. GENRA was funded by Arthritis Research UK (Grant Reference 19739 to ICS) and supported by the National Institute for Health Research Clinical Research Network (NIHR CRN). Funding for the Wellcome Trust Case Control project was provided by the Wellcome Trust under awards 076113, 085475 and 090355.

**Funding:** This article presents independent research funded by the National Institute for Health Research (NIHR). The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the Department of Health. The funders had no role in the study design, data collection and analysis, data interpretation, the writing of the manuscript or the decision to submit the manuscript for publication. This study also represents independent research part-funded by the NIHR Biomedical Research Centre (NIHR BRC) at South London and Maudsley NHS Foundation Trust and King's College London, and the NIHR BRC at Guy's and St Thomas' NHS Foundation Trust and King's College London.

**Disclosure statement:** The authors have declared no conflicts of interest.

## Supplementary data

Supplementary data are available at *Rheumatology* Online.

## References

- 1 Scott IC, Steer S, Lewis CM, Cope AP. Precipitating and perpetuating factors of rheumatoid arthritis immunopathology: linking the triad of genetic predisposition, environmental risk factors and autoimmunity to disease pathogenesis. *Best Pract Res Clin Rheumatol* 2011;25:447–68.
- 2 Scott IC, Tan R, Stahl D *et al.* The protective effect of alcohol on developing rheumatoid arthritis: a systematic review and meta-analysis. *Rheumatology* 2013;52:856–67.
- 3 Sugiyama D, Nishimura K, Tamaki K *et al.* Impact of smoking as a risk factor for developing rheumatoid arthritis: a meta-analysis of observational studies. *Ann Rheum Dis* 2010;69:70–81.
- 4 Qin B, Yang M, Fu H *et al.* Body mass index and the risk of rheumatoid arthritis: a systematic review and dose-response meta-analysis. *Arthritis Res Ther* 2015;17:86.
- 5 Okada Y, Wu D, Trynka G *et al.* Genetics of rheumatoid arthritis contributes to biology and drug discovery. *Nature* 2014;506:376–81.
- 6 Scott IC, Seegobin SD, Steer S *et al.* Predicting the risk of rheumatoid arthritis and its age of onset through modelling genetic risk variants with smoking. *PLoS Genet* 2013;9:e1003808.
- 7 Malemba JJ, Mbuyi-Muamba JM, Mukaya J *et al.* The epidemiology of rheumatoid arthritis in Kinshasa, Democratic Republic of Congo—a population-based study. *Rheumatology* 2012;51:1644–7.
- 8 Viatte S, Flynn E, Lunt M *et al.* Investigation of Caucasian rheumatoid arthritis susceptibility loci in African patients with the same disease. *Arthritis Res Ther* 2012;14:R239.
- 9 Singwe-Ngandeu M, Finckh A, Bas S, Tiercy JM, Gabay C. Diagnostic value of anti-cyclic citrullinated peptides and association with HLA-DRB1 shared epitope alleles in African rheumatoid arthritis patients. *Arthritis Res Ther* 2010;12:R36.
- 10 Dieye A, Diallo S, Diatta M *et al.* Identification of HLA-DR alleles for susceptibility to rheumatoid polyarthritis in Senegal. *Dakar Med* 1997;42:111–3.
- 11 Mikuls TR, Sayles H, Yu F *et al.* Associations of cigarette smoking with rheumatoid arthritis in African Americans. *Arthritis Rheum* 2010;62:3560–8.
- 12 von Elm E, Altman DG, Egger M *et al.* The Strengthening of Reporting of Observational Studies in Epidemiology (STROBE) statement: guidelines for reporting observational studies. *Lancet* 2007;370:1453–7.
- 13 United Kingdom Clinical Research Network. Genetics Of Rheumatoid Arthritis In Individuals Of African Ancestry: the GENRA Study UK Clinical Research Network: Portfolio Database. <http://public.ukcrn.org.uk/Search/StudyDetail.aspx?StudyID=11402> (6 September 2015, date last accessed).
- 14 Office for National Statistics. 2011 Census Questionnaire Content. <http://webarchive.nationalarchives.gov.uk/20160105160709/http://ons.gov.uk/ons/guide-method/census/2011/how-our-census-works/how-we-took-the-2011-census/how-we-collected-the-information/questionnaires-delivery-completion-and-return/index.html> (20 March 2017, date last accessed).
- 15 Khan U, Crossley C, Kalra L *et al.* Homocysteine and its relationship to stroke subtypes in a UK black population: the south London ethnicity and stroke study. *Stroke* 2008;39:2943–9.
- 16 Genetic Analysis of Psoriasis Consortium, Wellcome Trust Case Control Consortium 2; Strange A, Capon F, Spencer CC *et al.* A genome-wide association study identifies new psoriasis susceptibility loci and an interaction between HLA-C and ERAP1. *Nat Genet* 2010;42:985–90.
- 17 Scott IC, Rijsdijk F, Walker J *et al.* Do genetic susceptibility variants associate with disease severity in early active rheumatoid arthritis? *J Rheumatol* 2015;42:1131–40.
- 18 Illumina. Infinium Multi-Ethnic Global Beadchip: A Cost-Effective Array for Understanding Complex Disease in Diverse Human Populations 2016. <https://www.illumina.com/content/dam/illumina-marketing/documents/products/-datasheets/multi-ethnic-global-data-sheet-370-2016-001.pdf> (23 March 2017, date last accessed).
- 19 Price AL, Patterson NJ, Plenge RM *et al.* Principal components analysis corrects for stratification in genome-wide association studies. *Nat Genet* 2006;38:904–9.
- 20 Dilthey A, Leslie S, Moutsianas L *et al.* Multi-population classical HLA type imputation. *PLoS Comput Biol* 2013;9:e1002877.
- 21 Parkes M, Cortes A, van Heel DA, Brown MA. Genetic insights into common pathways and complex relationships among immune-mediated diseases. *Nat Rev Genet* 2013;14:661–73.

- 22 Raychaudhuri S, Sandor C, Stahl EA *et al*. Five amino acids in three HLA proteins explain most of the association between MHC and seropositive rheumatoid arthritis. *Nat Genet* 2012;44:291–6.
- 23 Nagelkerke NJ. A note on a general definition of the coefficient of determination. *Biometrika* 1991;78:691–2.
- 24 Kallberg H, Padyukov L, Plenge RM *et al*. Gene–gene and gene–environment interactions involving HLA-DRB1, PTPN22, and smoking in two subsets of rheumatoid arthritis. *Am J Hum Genet* 2007;80:867–75.
- 25 Rothman K, Greenland S. *Modern epidemiology*. Philadelphia, USA: Lippincott–Raven, 1998.
- 26 Gorman JD, Lum RF, Chen JJ *et al*. Impact of shared epitope genotype and ethnicity on erosive disease: a meta-analysis of 3,240 rheumatoid arthritis patients. *Arthritis Rheum* 2004;50:400–12.
- 27 Purcell S, Cherny SS, Sham PC. Genetic Power Calculator: design of linkage and association genetic mapping studies of complex traits. *Bioinformatics* 2003;19:149–50.
- 28 Cross-Disorder Group of the Psychiatric Genomics C. Identification of risk loci with shared effects on five major psychiatric disorders: a genome-wide analysis. *Lancet* 2013;381:1371–9.
- 29 International Schizophrenia Consortium, Purcell SM, Wray NR *et al*. Common polygenic variation contributes to risk of schizophrenia and bipolar disorder. *Nature* 2009;460:748–52.
- 30 Viatte S, Plant D, Han B *et al*. Association of HLA-DRB1 haplotypes with rheumatoid arthritis severity, mortality, and treatment response. *JAMA* 2015;313:1645–56.
- 31 Lambeth Council. Access to Mental Health Services for Black People in Lambeth. 2014. <http://moderngov.lambeth.gov.uk/documents/s64809/10CCGAccessToMentalHealthServicesForBlackPeopleInLambethJan2014v1.docx.pdf> (5 February 2016, date last accessed).
- 32 Council S. Southwark Demographic Factsheet. <https://www.southwark.gov.uk/assets/attach/2653/Demographic%20factsheet.pdf> (23 March 2017, date last accessed).
- 33 Li YR, Keating BJ. Trans-ethnic genome-wide association studies: advantages and challenges of mapping in diverse populations. *Genome Med* 2014;6:91.
- 34 Traylor M, Lewis CM. Genetic discovery in multi-ethnic populations. *Eur J Hum Genet* 2016;24:1097–8.